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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

<b>In re Application of:</b>	<b>Lincoln Eramo, Jr.</b>
<b>Application No.:</b>	<b>10/767986</b>
<b>Filed:</b>	<b>January 29, 2004</b>
<b>For:</b>	<b>LUBRICIOUS COMPOSITION</b>
<b>Group Art Unit:</b>	<b>Not Yet Assigned</b>

Mail Stop **Amendment**  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Docket No.: S63.2B-11325-US01

TRANSMITTAL LETTER

1. In regard to the above-identified application, in addition to this 2 page transmittal letter, we are submitting the attached: **4 Page Information Disclosure Statement; 3 Page List of References; 4 References; and Return Postcard.**
2. With respect to fees:
  - ☒ No additional fee is required.
  - ☐ Attached is check(s) in the amount of \$
  - ☐ Charge additional fee to our Deposit Account No. 22-0350.
3. **CONDITIONAL PETITION FOR EXTENSION OF TIME**

This conditional petition is being filed along with the papers identified in Item 1 above and provides for the possibility that Applicant has inadvertently overlooked the need for a petition and fee for extension of time or for a petition and fee for any other matter petitionable to the Commissioner as required. If any extension of time for the accompanying response is required or if a petition for any other matter is required, by petitioner, Applicant requests that this be considered a petition therefore.

4. Notwithstanding paragraph 2 above, if any additional fees associated with this communication are required and have not otherwise been paid, including any fee associated with the Conditional Petition for Extension of Time, or any request in the accompanying papers for action which requires a fee as a petition to the Commissioner, please charge the additional fees to Deposit Account No. 22-0350. Please charge any additional fees or credit overpayment associated with this communication to the Deposit Account No. 22-0350.

Respectfully submitted,

VIDAS, ARRETT & STEINKRAUS

Date:

May 20, 2004

By:

Lisa R. Lindquist  
Lisa R. Lindquist  
Registration No.: 43071

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**Certificate Under 37 CFR 1.8:** I hereby certify that this Transmittal Letter and the paper(s) as described herein, are being deposited in the U.S. Postal Service, as FIRST CLASS MAIL, addressed to Commissioner for Patent, P.O. Box 1450, Alexandria, VA 22313-1450, on May 20, 2004.

Mindy Pilarski  
Mindy Pilarski



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INFORMATION DISCLOSURE STATEMENT

Listed below or on an attached form listing the cited references and/or a copy of a PTO-892 form is information known to applicant(s). A copy of each listed publication, U.S. patent, foreign patent and U.S. patent application is being submitted herewith, along with a concise explanation of information in a foreign language, if any, pursuant to 37 C.F.R. §1.97-1.98, *except that* U.S. applications from which priority is claimed under 35 U.S.C. §120, and documents cited in such priority applications, may be omitted from the enclosures pursuant to 37 C.F.R. 1.98(d). Applicant's submission of copies of U.S. applications does not constitute a waiver of the confidentiality of such applications. As such, **Applicant requests that any copies of unpublished US applications submitted herewith be excluded from the file wrapper pursuant to 37 C.F.R. §1.14.**

Applicants respectfully request that the listed information be considered by the Examiner and be made of record in the above-identified application. If the form listing the cited references or PTO-892 from a prior application is enclosed, the Examiner is requested to initial and return it in accordance with MPEP §609.

This statement is not intended to represent that a search has been made or that the information cited in the statement is prior art, or is or is considered to be, material to patentability as defined in §1.56.

Applications that are listed on the accompanying form listing the cited references as related by priority are related by priority claim under 35 USC §120. Pursuant to 37 CFR §1.98(d), no copies of cited art in a previous application(s) to which priority was claimed need be submitted. Applicant is providing copies of the form listing the cited references and/or 892 forms from these cases.

  X   I. This statement qualifies as a no-fee Information Disclosure Statement under 37 C.F.R. §1.97(b) or otherwise because to the knowledge of the undersigned attorney it is being filed (check all that apply):

- X   (1) within 3 months of the filing date of the application (other than a CPA); or
- (2) within 3 months of entry of the national stage; or
- X   (3) before the mailing of a first Office Action on the merits;
- (4) before the mailing of a first Office Action after the filing of a request for continued examination (RCE) under §1.114;
- (5) as part of a continued prosecution application (CPA); or
- (6) during the period of a suspension of action for a CPA under 37 C.F.R. §1.103(b).

      II. This statement is believed to require a fee or the submission of a certification under 37 C.F.R. §1.97 (c) or otherwise. If this statement is being filed after the latest of: (1) three months beyond the filing date of a national application (other than CPA); (2) three months beyond the date of entry of the national stage as set forth in §1.491 in an international application; (3) the mailing of a first Office Action on the merits; (4) the mailing of a first Office Action after the filing of a request for continued examination under §1.114; or (5) after the filing of a request for a continued prosecution application, but before the mailing date of the earlier of a final office action under §1.113, a notice of allowance under §1.311 or an action that otherwise closes prosecution in the application, then:

- (1) a certification as specified in §1.97(e) is provided below; or
- (2) a fee of \$180.00 as set forth in §1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.

\_\_\_\_\_ **III. 37 C.F.R. §1.97(d).** If this statement is being filed after the mailing date of the earlier of a final office action under §1.113, a notice of allowance under §1.311, or an action that otherwise closes prosecution in the application, but before payment of the issue fee, then:

- \_\_\_\_\_ (1) a certification as specified in §1.97(e) is completed below; and  
\_\_\_\_\_ (2) a fee of \$180.00 as set forth in §1.17(p) is authorized below, enclosed, or included with payment of other papers filed together with this statement.

**X** **IV. Fee Authorization.** If any fee is due for consideration of this Information Disclosure Statement and full payment has not been submitted herewith, regardless of which boxes have been checked above, the Commissioner is hereby authorized to charge any additional fees associated with this communication to Deposit Account No. 22-0350. The Commissioner is hereby authorized to credit any overpayment associated with this communication to Deposit Account No. 22-0350.

***If paragraph II.1 or III is checked, also check one of the paragraphs below***

\_\_\_\_\_ I hereby certify, under 37 CFR §1.97(e)(1), that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the date of the filing of this information disclosure statement.

\_\_\_\_\_ This communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of the Information Disclosure Statement.

\_\_\_\_\_ I hereby certify, under 37 CFR §1.97(e)(2), that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and to the knowledge of the person signing the statement after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

*For the purpose of this certification, Applicant considers the PCT International Search Authority to constitute a foreign patent office.*

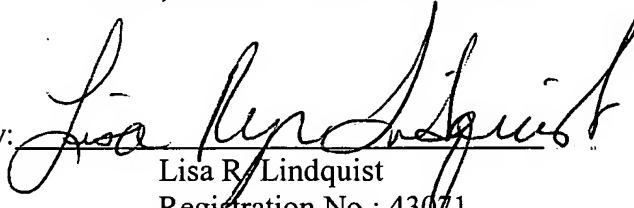
If this Information Disclosure Statement has been submitted without the appropriate box checked, Applicant requests that this Information Disclosure Statement be considered nevertheless if it is timely submitted under any of the provisions of 37 C.F.R. §1.97 or otherwise. Finally, if any petition is necessary to ensure consideration of this Information Disclosure Statement, Applicant requests that this be treated as such a petition.

Respectfully submitted,

VIDAS, ARRETT & STEINKRAUS

Date: May 20, 2001

By:

  
Lisa R. Lindquist  
Registration No.: 43071

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**LIST OF PATENTS AND PUBLICATIONS  
FOR APPLICANT'S  
INFORMATION DISCLOSURE STATEMENT**  
(Use several sheets if necessary)

**ATTY DOCKET NO.:** S63.2B-11325-US01

**APPLICATION NO.:** 10/767986

**APPLICANT:** Lincoln Eramo, Jr.

**FILING DATE:** January 29, 2004

**GROUP:** Not Yet Assigned

**REFERENCE DESIGNATION**

**U.S. PATENT AND PUBLISHED APPLICATION DOCUMENTS**

EXAM'S INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5091205	2/25/92	Fan	427/2	
	AB	5441488	8/15/95	Shimura et al.	604/265	
	AC	5503631	4/02/96	Onishi et al.	604/96	
	AD	5509899	4/23/96	Fan et al.	604/96	
	AE	5558900	9/24/96	Fan et al.	427/2.28	
	AF	5576072	11/19/96	Hostettler et al.	427/532	
	AG	5620738	4/15/97	Fan et al.	427/2.3	
	AH	5645931	7/08/97	Fan et al.	428/334	
	AI	5662960	9/02/97	Hostettler et al.	427/2.3	
	AJ	5670558	9/23/97	Onishi et al.	523/112	
	AK	5693034	12/02/97	Buscemi et al.	604/265	
	AL	5731087	3/24/98	Fan et al.	428/412	
	AM	5849368	12/15/98	Hostettler et al.	427/536	
	AN	5919570	7/06/99	Hostettler et al.	428/424.8	
	AO	6017577	1/25/00	Hostettler et al.	427/2.12	
	AP	6030656	2/29/00	Hostettler et al.	427/2.3	
	AQ	6040058	3/21/00	Hostettler et al.	428/457	
	AR	6080488	6/27/00	Hostettler et al.	428/423.3	
	AS	6120904	9/19/00	Hostettler et al.	428/423.3	
	AT	6176849	1/23/01	Yang et al.	604/265	
	AU	6221467	4/24/01	Nazarova et al.	428/213	
	AV	6161630	12/19/00	Stump et al	175/26	
	AW	6265016	7/24/01	Hostettler et al.	427/2.11	
	AX	6458867	10/01/02	Wang et al.	523/105	
	AY	6458867	10/01/02	Wang et al.	523/105	
	AZ	6503958	1/07/03	Hughes et al.	521/64	

**EXAMINER**

**DATE CONSIDERED**

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



<b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b> (Use several sheets if necessary)				ATTY DOCKET NO.: S63.2B-11325-US01		APPLICATION NO.: 10/767986	
				APPLICANT: Lincoln Eramo, Jr.			
				FILING DATE: January 29, 2004		GROUP: Not Assigned	
<b>REFERENCE DESIGNATION</b>				<b>U.S. PATENT AND PUBLISHED APPLICATION DOCUMENTS</b>			
EXAM'S INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE IF APPROPRIATE	
	AA	6528150	3/04/03	Nazarova et al.	428/212		
	AB	6589215	7/08/03	Yang et al.	604/265		
	AC	6610035	8/26/03	Yange et al.	604/265		
	AD	6648874	11/18/03	Parisi et al.	604/525		
	AE	6673053	1/06/04	Wang et al.	604/265		
	AF	5756144	5/26/98	Wolff et al	427/2.3		
	AG	5902631	5/11/99	Wang et al	427/2.1		
	AH	6048620	4/11/00	Zhong	428/424.4		
	AI	6120904	9/19/00	Hosteller et al	428/423.3		
	AJ	6221425	4/24/01	Michal et al	427/2.25		
	AK	6540698	4/1/03	Ishii	600/585		
	AL	6656517	12/2/03	Michal et al	427/2.24		
	AM	6673453	1/6/04	Beavers et al	428/420		
	AN	6262115	7/17/01	Guittard et al	514/534		
<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT NUMBER	DATE	COUNTRY			
	BA						
	BB						
	BC						
<b>OTHER ART (Including Author, Title, Date, Pertinent Pages, Ect.)</b>							
	CA	Sartomer "Trifunctional Acrylate Backbone Structure Physical Properties" Sartomer Company, Inc., No. 5080; 11/01					
	CB	Sartomer "Application Bulletin" Sartomer Company, Inc., No. 4001; 04/02					
	CC						
<b>EXAMINER</b>				<b>DATE CONSIDERED</b>			
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							





<b>UNPUBLISHED US APPLICATIONS NOT RELATED BY PRIORITY (COPIES ENCLOSED)</b>	<b>ATTY DOCKET NO.:</b> S63.2B-11325-US01	<b>APPLICATION NO.</b> 10/767986
	<b>APPLICANT:</b> Lincoln Eramo, Jr.	
	<b>FILING DATE:</b> January 29, 2004	<b>GROUP:</b> Not Assigned

**UNPUBLISHED US APPLICATIONS NOT RELATED BY PRIORITY**

EXAM'S INIT.		APPLICATION NO.	FILING DATE	INVENTOR(S)
	DA	10/658718	9/09/2003	Steve Kangas
	DB	10/658729	9/09/2003	Steve Kangas
	DC			
	DD			
	DE			

<b>EXAMINER</b>	<b>DATE CONSIDERED</b>
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EXAMINER: Initial if application considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<b>US APPLICATIONS RELATED BY PRIORITY (COPIES NOT ENCLOSED)</b>	<b>ATTY DOCKET NO.:</b>	<b>APPLICATION NO.</b>
	<b>APPLICANT:</b>	
	<b>FILING DATE:</b>	<b>GROUP:</b>

**US APPLICATIONS REALTED BY PRIORITY**

EXAM'S INIT		APPLICATION NO.	FILING DATE	PATENT NO.	INVENTOR(S)	FORM 1449/892 ENC
	EA					
	EB					
	EC					
	ED					
	EE					
	EF					

<b>EXAMINER</b>	<b>DATE CONSIDERED</b>
-----------------	------------------------

EXAMINER: Initial if application considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to application.